

Form No. MGT-9

Extract of Annual Return as on the financial year ended on March 31, 2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies
(Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

- i) CIN : L74140DL1994PLC304881
 ii) Registration Date : 11.05.1994
 iii) Name of the Company : Dynamic Portfolio Management & Services Ltd
 iv) Category / Sub-Category of the Company : Public Listed Company(BSE) / Non-Government Company/NBFC
 v) Address of the Registered office and contact details : 916, Tower-2 Pearl Omaxe Building, Netaji Subhash Place, Pitampura New Delhi-110034
 Ph. No.: 011-47012010
 E-mail:dpms.kolkata@gmail.com
 vi) Whether listed company Yes / No : Yes
 vii) Name, Address and Contact details of Registrar and Transfer Agent, if any : MAASHITLA SECURITIES PVT LTD. 905, Aggarwal Corporate Heights, Netaji Subhash Place, Pitampura, New Delhi – 110034

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/Services	NIC code of the Product/service	% to total turnover of the Company
1	NBFC Activities (Financing) & Trading / Investment in Shares & Securities	66110	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES –

S.No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
NA					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	Total	% of Total shares	
A. Promoters									
(1) Indian									

Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	Total	% of Total shares	
a) Individual/ HUF	32400		32400	0.28%	32400		32400	0.28%	
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	1484600		1484600	12.70%	1484600		1484600	12.70%	
e) Banks/FI	-	-	-	-	-	-	-	-	-
f) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (1):-	1517000		1517000	12.97%	1517000		1517000	12.97%	-
(2) Foreign									
a) NRIs- individuals	-	-	-	-	-	-	-	-	-
b) Other- Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks / FI	-	-	-	-	-	-	-	-	-
e) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (2):-	-	-	-	-	-	-	-	-	-
Total shareholding of Promoter (A) = (A)(1) + A(2)	1517000	-	1517000	12.97%	1517000		1517000	12.97%	-
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others(specify)	-	-	-	-	-	-	-	-	-
Sub-total (B) (1):-	-	-	-	-	-	-	-	-	-
2. Non-institutions									
a) Bodies Corp.									
i) Indian	158646	424500	583146	4.99%	139784	424500	564284	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual Shareholders holding nominal share capital upto Rs. 2 lakh	315935	892000	1207935	10.33%	389725	1046000	1435725	-	-

Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	Total	% of Total shares	
ii) Individuals shareholders holding nominal share capital in excess of Rs. 2 lakh	6918206	1407400	8325606	71.21%	6841918	1253400	8095318	-	-
c) Others (specify)	25613	32500	58113	0.50%	46973	32500	79473	-	-
Sub-total (B)(2):-	7418400	2756400	10174800	-	7418400	2756400	10174800	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	-	-	-	-	-	-	-	-

(ii) Shareholding of Promoters

SI No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in the shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1.	Ravi Kumar Newatia	13500	0.12%	-	13500	0.12%	-	-
2	Asha Newatia	2800	0.02%	-	2800	0.02%	-	-
3	Ritesh Newatia	7200	0.06%	-	7200	0.02%	-	-
4	Suman Agarwal	8900	0.07%	-	8900	0.07%	-	-
5	Ritesh Properties Pvt. Ltd	298000	2.55%	-	298000	2.55%	-	-
6	Shiv Shankar Securities Pvt. Ltd	168500	1.44%	-	168500	1.44%	-	-
7	Ranisati Mercantiles Pvt. Ltd	217500	1.86%	-	217500	1.86%	-	-
8	Jewel Moulders Holding Pvt. Ltd	374500	3.20%	-	374500	3.20%	-	-
9	Ritesh Real Estate Pvt. Ltd	228500	1.95%	-	228500	1.95%	-	-
10	Darshaan Vyapar Pvt. Ltd	197600	1.69%	-	197600	1.69%	-	-

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SI No.		Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company

	At the beginning of the year	No Change during the year			
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.):	No Change during the year			
	At the End of the year	No Change during the year			

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, promoters and Holders of GDRs and ADRs)

SI No.	For each of Top 10 shareholders	Shareholding at the beginning of the year		Shareholding at the end of the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Pallavi Goyal	1460000	12.49%	1460000	12.49%
2	Vipul Goel	1447500	12.38%	1447500	12.38%
3	Pawan Kumar Sharma	703500	6.02%	696720	5.96%
4	Tapan Kumar Biswas	400000	3.42%	400000	3.42%
5	Anuradha Biswas	400000	3.42%	400000	3.42%
6	Suresh Chand Garg	265000	2.27%	265000	2.27%
7	Dinesh Chand Garg	265000	2.27%	265000	2.27%
8	Swati Garg	264500	2.26%	264500	2.26%
9	Shyam Sunder Garg	264500	2.26%	264500	2.26%
10	Laxmi Devi Garg	263500	2.25%	263500	2.25%

(v) Shareholding of Directors and Key Managerial Personnel:

SI No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Mr. Ravi Kumar Newatia				
	At the beginning of the year	13500	0.11%	13500	0.11%
	Date wise increase / decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer/ bonus /sweat equity etc)	No Change during the year			
	At the End of the year	13500	0.11%	13500	0.11%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrue but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				

i) Principal Amount		1,01,14,287		1,01,14,287
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i + ii + iii)		1,01,14,287		1,01,14,287
Change in Indebtedness during the financial year				
- Addition		3,98,91,836		3,98,91,836
- Reduction		1,01,14,287		1,01,14,287
Net Change		2,97,77,549		2,97,77,549
Indebtedness at the end of the financial year				
i) Principal amount		3,98,91,836		3,98,91,836
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i + ii + iii)		3,98,91,836		3,98,91,836

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager				Total Amount
1.	Gross Salary (a) Salary as per provisions contained in section 17(1) if the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-	-
2.	Stock Option	-	-	-	-	-
3.	Sweat Equity	-	-	-	-	-
4.	Commission - as % of profit - Others, specify...	-	-	-	-	-
5.	Others, please specify	-	-	-	-	-
	Total (A)	-	-	-	-	-
	Ceiling as per the Act	-	-	-	-	-

B. Remuneration to other directors:

Sl. No.	Particulars of Remuneration	Name of Directors			Total Amount
		Anita Mittal	Ravi Kumar Newatia	Sushil Kumar	
1.	Independent Directors • Fee for attending board / committee meetings • Commission • Others, please specify	- - -	- - -	- - -	- - -

	Total (1)	-	-	-	-
	2. Other Non-Executive Directors <ul style="list-style-type: none"> • Fee for attending board committee meetings • Commission • Others, please specify 	-	-	-	-
	Total (2)	-	-	-	-
	Total (B) = (1 + 2)	-	-	-	-
	Total Managerial Remuneration	-	-	-	-
	Overall Ceiling as per the Act	-	-	-	-

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SI. No.	Particulars of Remuneration	Remuneration to Key Managerial Personnel other than MD/Manager/WTD		
		Key Managerial Personnel		
		Company Secretary	CFO	Total
		(Neha Sharma)*	(Shri Kailash Chandra Agarwal)	
1	Gross Salary			
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	96,774	2,40,000	
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	-	-	
	(c) Profits in lieu of salary under section 17(3) of Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of Profit	-	-	-
	- others (specify)	-	-	-
5	Others please specify	-	-	-
	Total	96,774	2,40,000	

* Appointed as Company Secretary w.e.f. 5th December, 2019

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief description	Details of Penalty / Punishment / Compounding fees imposed	Authority (RD / NCLT / COURT)	Appeal made, if any (give details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

For and on behalf of Board of Directors

Dynamic Portfolio Management and Services Limited

Kailash Chandra Agarwal

DIN: 08650459

Mukesh Chauhan

DIN: 05350819