



Dynamic Portfolio Management & Services Ltd.

Regd. Office: 1403, Vikram Tower 16, Rajendra Place, New Delhi-110008,
Phone: 9017255300 Website: www.dynamicwealthservices.co.in,
Email: dpms.kolkata@gmail.com, CIN: L74140DL1994PLC304881

Dated: 27th May, 2022

To,
General Manager
Department of Corporate Affairs
Bombay Stock Exchange Limited
Floor 25, Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai-400001

Reg.: Scrip Code 530779

Subject: Annual Secretarial Compliance Report for the year ended March 31, 2022

Dear Sir,

Pursuant to Regulation 24A of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and in terms of SEBI circular having reference no CIR/CFD/CMDI/27/2019 dated February 8, 2019, we are submitting Annual Secretarial Compliance Report of the Company for the year ended March 31, 2021 issued by Sheetal & Company, Company Secretaries.

This is for your information and records.

Thanking you,

Regards,

Yours truly,

For **Dynamic Portfolio Management & Services Limited**


Nidhi Agarwal
Company Secretary & Compliance Officer

Encl.: As above



Sheetal & Company

Company Secretaries

Off: A-2/ 132, Rajouri Garden, New Delhi-110027

Ph. 011-47091395, 09999606783

E-Mail: sheetalsharma039@gmail.com, cssheetalsharma@gmail.com

"Secretarial compliance report of DYNAMIC PORTFOLIO MANAGEMENT & SERVICES LIMITED for the year ended 31st March, 2022"

I, **SHEETAL SHARMA, Company Secretaries** have examined:-

- a) all the documents and records made available to us and explanation provided by Dynamic Portfolio Management & Services Limited;
- b) the filings/ submissions made by the listed entity to the stock exchanges;
- c) website of the listed entity;
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification;

For the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:-

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of



Securities) Regulations, 2018;

- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(Note: The aforesaid list of Regulations is only illustrative. The list of all SEBI Regulations, as may be relevant and applicable to the listed entity for the review period, shall be added.)

And based on the above examination, I/We hereby report that, during the Review Period:-

1. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary

2. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
3. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:-

Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.



4. The listed entity has taken the following actions to comply with the observations made in previous reports:-

Observations of the PCS for 31.03.2022	Observations made in the secretarial compliance report for the year ended 31.03.2022	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity

For SHEETAL & COMPANY

Company Secretary
COP No. 15204



SHEETAL SHARMA
CS- 10780

Place: New Delhi;
Date: 27.05.2022
Udin: F010780D000406340